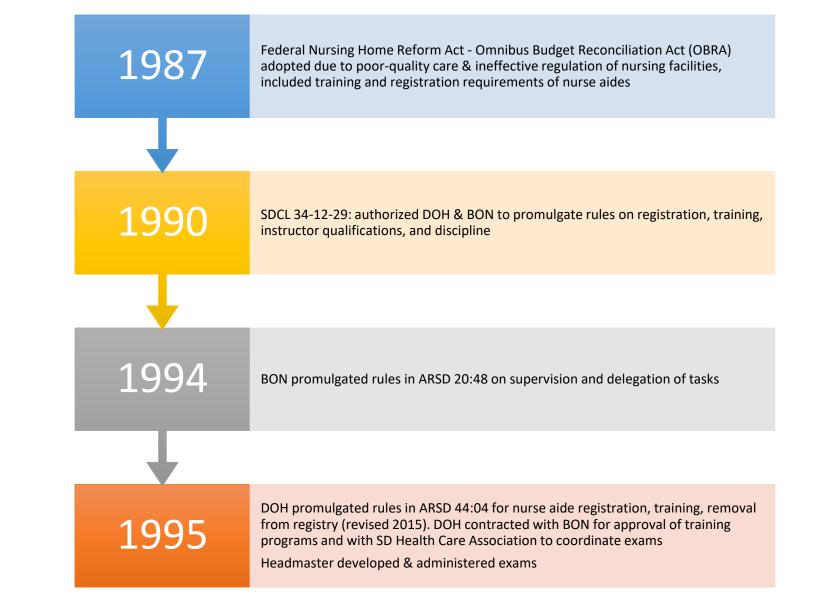
Future Regulation of Certified Nurse Aides in South Dakota

## History of Nurse Aide Regulation





## 35 Years Later

- Proposed Plan: move CNA regulation to BON under ARSD 20:48
  - Training approval, competency evaluation program (exam/skills), registration, complaints, & discipline
- Why?
  - BON regulates nurses
  - DOH regulates facilities
- Goal: Right Touch Regulation
  - Promote level of regulation proportionate to level of risk to public
  - Reduce regulatory barriers
- Timing is right to modernize CNA training & regulation
  - Model similarly to LPN/RN education & licensure -- competencybased learning
  - Promote consistency in training with common curriculum
  - Comply with federal regs

## Proposing New Rules

### In ARSD 20:48:04.01 & 20:48:16

- Training
- Registration
- Complaints & Discipline





# Training Program Approval Changes

- An individual or institution desiring to offer a nurse aide training program (NATP) shall apply to BON for approval
  - Renew every 2 years
  - Onsite or virtual visit required to renew
- An institution that provides training in multiple affiliated locations shall apply on one application for all locations
- NATPs must meet standards and curriculum requirements
- An applicant may not enroll students prior to approval of the program.

### Standards for Approval of a Training Program

- 1. Is licensed or approved by a state agency or governing body;
- 2. Does not have a federal or state sanction or a deficiency on a state survey that prohibits the applicant from providing a nurse aide training program;
- 3. Has written admission criteria that includes screening students for findings of abuse and neglect... and misappropriation of property, as defined in 44:73:01:01(20).
- 4. Prohibits enrollment of students with convictions listed in subdivision (3);
- 5. Uses the board's curriculum as outlined in § 20:48:04.01:28;
- 6. Appoints an RN, who meets the qualifications in § 20:48:04.01:24, to serve as the program director;
- 7. Appoints a primary nurse instructor who meets the qualifications in § 20:48:04.01:26;
- 8. Uses supplemental instructors who meet the requirements in § 20:48:04.01:27;
- 9. Uses classrooms, laboratories, and equipment in the number and size to provide a safe and effective learning environment for the number of students enrolled;
- 10. Uses licensed nurses to directly supervise students in the clinical setting and lab; and
- 11. Maintains and provides the following documentation to the board upon request:
  - A list of students enrolled; Student performance in program; Date student completed, failed, or withdrew, including reason for withdrawing; and list of individuals teaching, including qualifications and experience.

# Clinical Facility Agreement

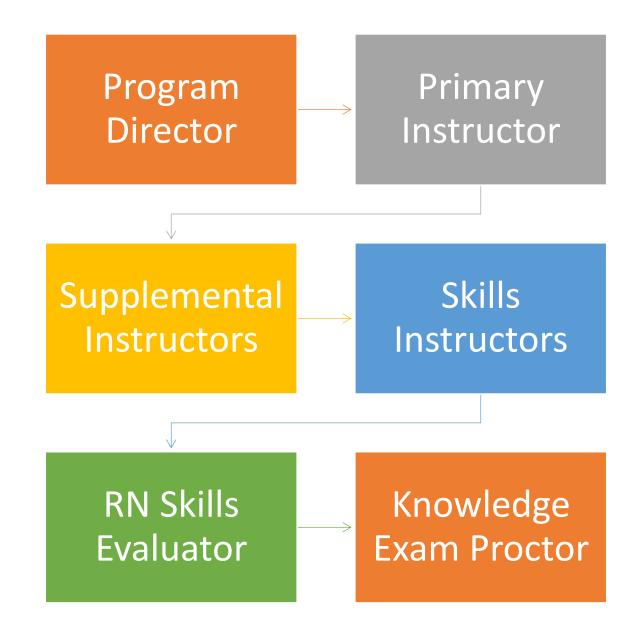
A NATP that is not affiliated with a nursing facility must have a written affiliation agreement in place with a nursing facility to meet the clinical training required.

The agreement must include descriptions on:

- How the nurse aide training program will provide clinical experience in the facility;
- How the students will be directly supervised by a licensed nurse when performing direct client care; and
- The use of an approved nurse aide training program instructor to evaluate the performance of students.



## NATP Personnel



### Qualified Personnel

Personnel Qualified to serve as $\rightarrow$	Program Director	Primary Instructor	Skills Lab Instructors	Supplemental Instructors	RN Skills Evaluator	Knowledge Exam Proctor
RN	х	Х	x	Х	x	X
LPN		Х	X	X		X
Other Staff				х		X

### Program Director Qualifications & Duties

- Minimum 1-year clinical RN experience
- Supervises nurse aide training program
- Screens students for abuse/neglect
- Ensures curriculum & standards in ARSD 20:48 are met
- Assigns qualified primary nurse instructor(s)
- Assigns qualified supplemental instructor(s)
- Coordinates skills lab & NF clinical sites
- Assigns qualified RN(s) to evaluate skills competency
- Assigns knowledge exam proctor(s) and ensures the proctor(s) meets testing agency requirements
- Verifies student training completion & skills evaluation to BON
- Available for the BON renewal onsite or virtual visit

### Primary Instructor Qualifications & Duties





Minimum 2-years licensed clinical experience as RN/LPN Minimum of 1-year of licensed nursing experience in a nursing facility Experience teaching or completed course on teaching adult students Not employed as DON in the nursing facility

### Supplemental Instructor Qualifications & Duties

### Assists with instruction

Must have a minimum of one year experience in their area of expertise

The DON in the facility and nursing assistants are not qualified for use as supplemental personnel

### Use Common Curriculum



All programs deliver same content; may be taught in-person or online.



All programs use an approved book & workbook: Hartman's Nurse Assistant Care: Long-Term Care (5<sup>th</sup> ed.) (2022)

American Health Care Association - How to be a Nurse Assistant (8th Ed.) (2022)



All programs provide in-person skills lab for hands-on practice of learned content. Taught by RN, LPN, or supplemental instructor.



All programs conduct a competency evaluation of a student's ability to provide the skills safely. May be evaluated in lab or end of course.

\*Using Board's *Required Skills Checklist Booklet* (free) & Competency Evaluation Forms

#### **General Orientation Content**

Before a student begins the 75-hour Nurse Aide Course and before direct contact with residents.

- Introduction to your facility, personnel, tour of building
- Hartman's Text and WB Chapters 1 & 2 / AHCA Text Chapter 1
- Employment Policies
- Additional training as required by facility

#### Required NATP Curriculum

1. Required Curriculum Content	2. Hartman's Text	3. Hartman's WB	4. AHCA Text	5. AHCA WB	<ol> <li>Skills Practice &amp; Competency Demonstration (*Required checklist)</li> </ol>	7. Timeframe
Initial 16 hours of Content & Skills (must be taught prior to	student having direct conta	ict with a resident):				
<ul> <li>Unit 1. Communication and interpersonal skills</li> <li>Mental health and social service needs: include content on behavior modification, developmental tasks associated with aging, personal choice, client dignity, using the client's family to provide emotional support.</li> <li>Care of cognitively impaired clients: include communication techniques to address the needs and behaviors of individuals with dementia or Alzheimer's disease</li> </ul>	Chapters 4; 19; 20	Chapters: 4; 19; 20	Chapter 2	Chapter 2	No lab required	6 hours
Unit 2. Infection control standards and procedures	Chapter 5	Chapter 5; & pp 149 - 150	Chapters 5 & 6	Chapter 5	Handwashing* PPE use*	3 hours
<ul> <li>Unit 3. Safety and emergency procedures, include:</li> <li>Back blow, abdominal thrusts, and Heimlich maneuver; &amp; avoiding need for restraints.</li> </ul>	Chapters 6 & 7	Chapters 6 & 7; & p 151	Chapters 5 & 6, & pp 123-124	Chapter 6	Obstructed airway* Fire safety (optional)	3 hours
Unit 4. Promoting client independence & self-care according to client's abilities	Chapters: 8: pp 111-113; 13: pp 198-200; 21: pp 389-390		Chapter 3	Chapter 3	No lab required	2 hours
<ul> <li>Unit 5. Respecting client rights, include:</li> <li>Privacy, confidentiality, personal choices, resolving grievances &amp; disputes, assisting with accessing activities, maintaining resident personal property, promoting freedom from abuse &amp; neglect, and reporting concerns.</li> </ul>	Chapter 3: pp 23-25, 28-29 Throughout course, content in blue boxes relates to this topic.		Chapter 4 Chapter 7: pp 155 - 157	Chapter 4	No lab required	2 hours
					Total Initial Content:	16 hours

#### Unit 6. Clinical Day on a Nursing Facility

Supervision by a licensed nurse who is in the immediate area is required. The student may only perform skills/tasks/activities the student has been deemed competent to perform. 8 hours The student will be learning by observing other staff this day.

#### Basic Nursing Skills, Personal Care Services<sup>1</sup> & Restorative Services<sup>2</sup> Content:

Unit 7. Recognizing and reporting abnormal findings in body functioning and the importance of reporting such changes to a licensed nurse.	Chapter 4: pp 40-47 Throughout course, content in green boxes relates to this topic.		Chapter 7: pp 130 - 138	Chapter 7	No lab required	Integrated throughout course
<b>Unit 8:</b> Transferring, Positioning, Turning <sup>1, 2</sup> ; use of assistive devices in transferring and ambulating <sup>2</sup>	Chapter 10	Chapter 10; & pp 154 – 161	Chapter 6 Chapter 8	Chapter 8	Moving up in bed*; Log rolling*; Positioning on side*; Dangling; Use of transfer belt*; Bed to wheelchair; Mechanical lifts; Transferring bed to wheelchair*; to toilet & off; Assisting with ambulation; Assisting with ambulation with cane and crutches*	8 hours

### Common Curriculum

### Common Curriculum Sample Schedules

Education Program Model: 4 Hour Day					
Day 1	Day 2	Day 3	Day 4	Day 5	
Unit 1: Classroom (4 hours)	Unit 1: Classroom (2 hours) Unit 2 & 3: Classroom (2 hours)	Unit 2 & 3: Lab (4 hours)	Unit 4: Classroom (2 hours) Unit 5: Classroom (2 hours)	Unit 6: Clinical Day in Nursing Facility (NF) (4 hours)	
Day 6	Day 7	Day 8	Day 9	Day 10	
Unit 6: Clinical Day in NF (4 hours)	Unit 7: Classroom (integrated throughout course) Unit 8: Classroom/Lab (4 hours)	Unit 8: Classroom/Lab (4 hours)	Unit 9: Classroom / Lab (4 hours)	Unit 10: Classroom/Lab (1 hour) Unit 11: Classroom/Lab (1 hour) Unit 12: Classroom/Lab (1 hour) Unit 13: Classroom/Lab (1 hour)	
Day 11	Day 12	Day 13	Day 14	Day 15	
Unit 14: Classroom/Lab (4 hours)	Unit 15: Classroom/Lab (4 hours)	Unit 16: Classroom/Lab (2 hours) Unit 18: Classroom/Lab (2 hours)	Unit 17: Classroom/Lab (4 hours)	Unit 19: Classroom/Lab (2 hours) Unit 20: Classroom/Lab (1 hour)	
Day 16	Day 17	Day 18	Day 19	Day 20	
Skills Competency Demonstrations*	Unit 21: Supervised Clinical in NF (4 hours)	Unit 21: Supervised Clinical in NF (4 hours)	Unit 21: Supervised Clinical in NF (4 hours)	Unit 21: Supervised Clinical in NF (4 hours)	

### Common Curriculum Sample Schedules

Education Program Model: 8 Hour Day						
Day 1	Day 2	Day 3	Day 4	Day 5		
Unit 1: Classroom (6 hours) Unit 2 & 3: Classroom (2 hours)	Unit 2 & 3: Lab (4 hours) Unit 4: Classroom (2 hours) Unit 5: Classroom (2 hours)	Unit 6: Clinical Day in NF (8 hours)	Unit 7: Classroom (integrated throughout course) Unit 8: Classroom/Lab (8 hours)	Unit 9: Classroom / Lab (4 hours) Unit 10: Classroom/Lab (1 hour) Unit 11: Classroom/Lab (1 hour) Unit 12: Classroom/Lab (1 hour) Unit 13: Classroom/Lab (1 hour)		
Day 6	Day 7	Day 8	Day 9	Day 10		
Unit 14: Classroom/Lab (4 hours) Unit 15: Classroom/Lab (4 hours)	Unit 16: Classroom/Lab (2 hours) Unit 17: Classroom/Lab (4 hours) Unit 18: Classroom/Lab (2 hours)	Unit 19: Classroom/Lab (2 hours) Unit 20: Classroom/Lab (1 hour) Skills Competency Demonstrations*	Unit 21: Supervised Clinical in NF (8 hours)	Unit 21: Supervised Clinical in NF (8 hours)		

### Common Curriculum Sample Schedules

Sample Flexible Schedule: 4, 6, 8 Hour Days					
Day 1	Day 2	Day 3	Day 4	Day 5	
Unit 1: Classroom (6 hours)	Unit 2 & 3: Classroom (2 hours) Unit 4: Classroom (2 hours) Unit 5: Classroom (2 hours)	Unit 2 & 3: Lab (4 hours)	Unit 6: Clinical Day in Nursing Facility (NF) (8 hours)	Unit 7: Classroom (integrated throughout course) Unit 9: Classroom/Lab (4 hours)	
Day 6	Day 7	Day 8	Day 9	Day 10	
Unit 8: Classroom/Lab (8 hours)	Unit 10: Classroom/Lab (1 hour) Unit 11: Classroom/Lab (1 hour) Unit 12: Classroom/Lab (1 hour) Unit 13: Classroom/Lab (1 hour)	Unit 14: Classroom/Lab (4 hours) Unit 15: Classroom/Lab (4 hours)	Unit 16: Classroom/Lab (2 hours) Unit 17: Classroom/Lab (4 hours)	Unit 18: Classroom/Lab (2 hours) Unit 19: Classroom/Lab (2 hours) Unit 20: Classroom/Lab (1 hour)	
Day 11	Day 12	Day 13			
Unit 21: Clinical in NF (8 hours)	Unit 21: Clinical in NF (8 hours)	Skills Competency Evaluations Knowledge Exam			

### Skills Evaluation



Goal: evaluate the student's ability to competently perform common skills a CNA will be performing in a skilled nursing facility



All programs must identify a qualified RN Skills Evaluator

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All programs use the pool of tasks and checklists developed by the BON

Checklists are based on Hartman's Workbook.

Evaluates hand hygiene; PPE; transferring, positioning, assistive devices; skin care, bathing, grooming, oral care, dressing; toileting; feeding and hydration, etc.

Evaluation includes minimum of 4 random skills – BON coordinates selection of skills with Program Directors



Skills evaluation conducted either during in-person skills lab or at end of the training

RN Skills Competency Evaluator Qualifications & Duties

Hold a RN license or privilege to practice in South Dakota;

Have a minimum of one-year clinical experience caring for older adults or the chronically ill of any age;

Not be employed as the DON in the nursing facility;

Advises student, prior to administering the skills evaluation, that the results will be scored by the BON; and

Uses BON approved skills evaluation checklist forms; randomly assigned by BON

Submit completed skills evaluation checklists to the NATP Director to be submitted to BON



### Knowledge Exam Standards

- BON will contract (RFP) with an agency to:
  - Provide a pool of written questions for use in the exam
  - Administer the exam to an applicant
  - Approve proctors to administer the exam
  - Administer the exam orally upon request by applicant
  - Advise applicant that the board will be notified of results
  - Score exam and require a passing score of 75%
  - If an applicant fails, advise of areas not passed and allow to retake the exam two times
  - Safeguard confidentiality of exam and secure from tampering
  - Report any known or suspected breach in the security of exam to board in a timely manner

### CNA Registration Required

A licensed nurse may delegate nursing tasks to a nursing assistant in a nursing facility, licensed pursuant to SDCL chapter 34-12, if nursing assistant:

- (1) Is registered with the board as a CNA; or
- (2) Has worked less than 4 months in the facility and is enrolled as a student in a NATP; or
- (3) Has worked less than 4 months in the facility and is awaiting registration by the board after completing a NATP



# Registry

*Initial* Registration Requirements

- Nurse aide student Completes BON's online application
  - Signs affidavit that has not committed an act of misconduct listed in rule § 20:48:16:04 (grounds for discipline)
- NATP Program Director submits to BON:
  - BON's Verification Form that student completed board-

approved NATP; (if not BON approved, may request an equivalency review)

- BON's Skills Evaluation Checklist Forms (4-5 randomly selected by BON
- The BON makes student eligible to take national CNA knowledge exam. Passes exam with minimum score of 75%

# Registry

**Endorsement** Registration Requirements

- Completes BON's online application
  - Signs affidavit that has not committed an act of

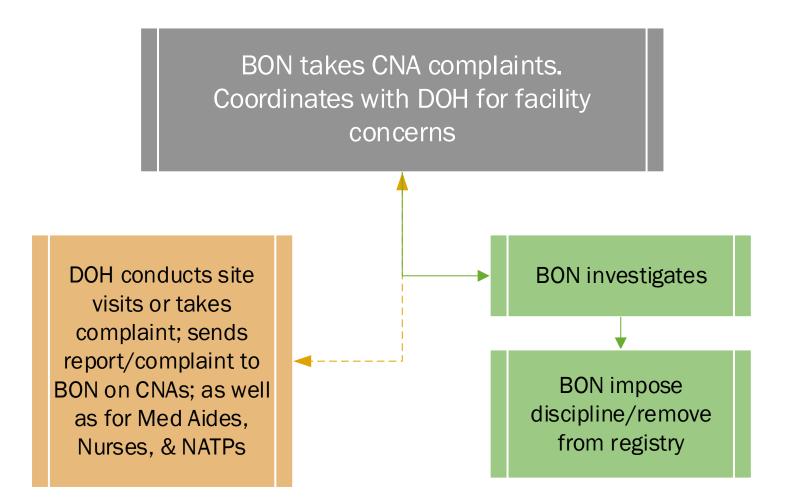
misconduct listed in rule § 20:48:16:04 (grounds for

discipline)

• Holds active registration as a CNA on another state's

registry

## Complaints & Discipline



Complaints & Discipline The board, in compliance with SDCL chapters 1-26 and 36-1C, may deny an applicant or remove a registrant upon proof that the applicant or registrant has:

- 1. Committed fraud, deceit, or misrepresentation in procuring or attempting to procure registration;
- 2. Been convicted of a crime that directly relates to the practice of nursing;
- 3. Committed an alcohol or drug related act or offense that interferes with the ability to practice as a registrant safely;
- 4. Negligently, willfully, or intentionally acted in a manner inconsistent with the health or safety of a person entrusted to the registrant's care;
- 5. Had any license or registration denied, revoked, or suspended or had other disciplinary action taken;
- 6. Violated any provision of chapter 36-9 or this article;
- 7. Practiced during a time the registrant's registration is lapsed;
- 8. Engaged in unsafe practice, substandard care, or unprofessional or dishonorable conduct;
- 9. Violated confidentiality, patient privacy, consent, or disclosure of patient information; or
- 10. Engaged in sexual harassment, sexual contact, or exercised influence within the registrant-patient relationship for the purpose of engaging a patient in sexual activity;
- 11. Had a finding of abuse or neglect, as defined in SDCL subdivisions 22-46-1(4), (6), or (7); or
- 12. Misappropriated property, as defined in subdivision 44:73:01:01(20).

### **Tentative Timeline**



